

MSE Walls

I Introduction

A. Course Objectives

They seem to crop up everywhere, like cell phone towers. Mechanically stabilized earth (MSE) retaining walls are growing in popularity. Variations on the basic design, including curves, tiers, railings, stairs, walkways and the like are making them more and more attractive to designers and architects.

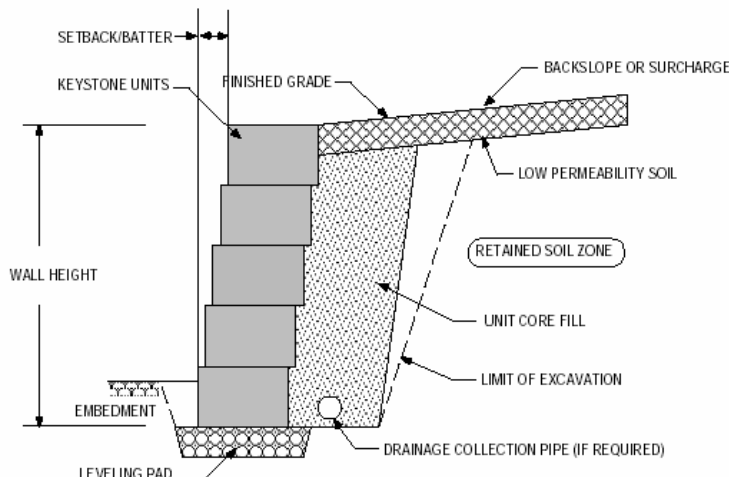
In this course you will find examples of the design of these walls and the principles which underlie those designs. You'll also become aware of some of the problems to avoid. The course assumes you already know how to design other types of earth retaining structures and that you understand some principles of soil mechanics. If you feel you need a refresher course, PDH Center offers an excellent course entitled Retaining and Flood Walls (C116) which covers MSE walls and many other types.

This course emphasizes practical solutions for the day to day problems facing the practicing engineer or architect. Theories of earth science are mentioned but it is the application of those theories which is the focus of the course. The author is not an expert in geology but links to expert help are also provided in the course.

B. Types of Walls

Both earth retaining and water retaining walls are discussed. Each type is comprised of three principle elements: segmental block units made of concrete, woven geotextile fabric used to strengthen the earth backfill materials, and the native or imported earthen or rock material to be retained or stabilized. All three elements are essential and create a gravity wall when held together.

Here is a generic cross section of a typical earth wall.

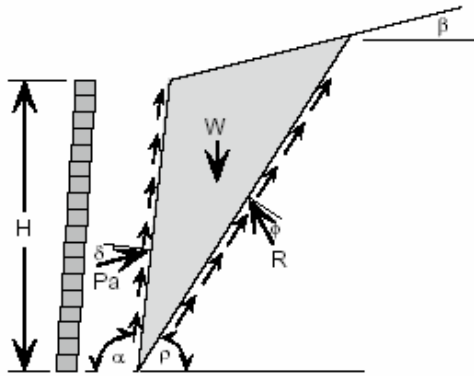


II Mechanically Stabilized Earth Retaining Walls

A. Basic Earth Pressure Theory

You'll recall from your college soil mechanics classes the Coulomb (1776) and Rankine (1857) theories of active earth pressure. For wall design either theory may be used and will give similar results. The Rankine theory is favored by transportation professionals (AASHTO), while the NCMA prefers the Coulomb approach.

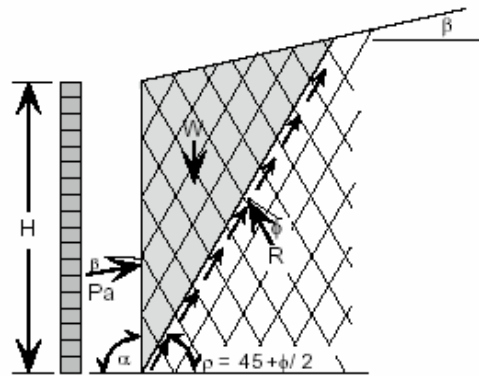
Both theories postulate a failure surface through the backfill which allows a wedge of earth to move slightly downward and outward. As illustrated below, this obviously requires that the wall itself move or tip a small distance. When that happens, the pressures and forces may be calculated using either theory.



$$P_{ah} = \frac{1}{2} \gamma H^2 K_a \cos(\delta - 90 - \alpha)$$

$$K_a = \frac{\sin^2(\alpha + \phi)}{\sin^2 \alpha \sin(\alpha - \delta) \left[1 + \frac{\sin(\phi + \delta) \sin(\phi - \beta)}{\sin(\alpha - \delta) \sin(\alpha + \beta)} \right]^2}$$

Coulomb Wedge Analysis



$$P_{ah} = \frac{1}{2} \gamma H^2 K_a \cos(\beta)$$

$$K_a = \cos \beta \left[\frac{\cos \beta - \sqrt{\cos^2 \beta - \cos^2 \phi}}{\cos \beta + \sqrt{\cos^2 \beta - \cos^2 \phi}} \right]$$

Rankine "state of stress" Analysis

Source: Keystone Retaining Wall Systems

Approximate Soil Design Parameter Ranges

Wall Backfill Classification	Common Description	UNSC Classification	ϕ range	γ range (moist)	Comments
Good	Sand, Gravel, Stone	GW, GP, GM GC, SW, SP	32° - 36°	100 - 135 pcf	Poor grading lowers weight (ie: #57 stone)
Moderate	Silty Sands Clayey Sands	SM, SC	28° - 32°	110 - 130 pcf	Moisture Sensitive
Difficult	Silts, Low Plastic Clays	ML, CL, OL	25° - 30°	110 - 125 pcf	PI < 20 LL < 40
Bad	High Plastic Silts & Clays, organics	CH, MH OH, PT	0° - 25°	50 - 110 pcf	PI > 20 LL > 40

Source: Keystone Retaining Wall Systems

Ultimate Bearing Capacity of Various Soils

Bearing Pressure
 Vertical Forces, $R = \Sigma W + \Sigma F_v$
 Applied Pressure, $\sigma_v = \frac{R}{L-2e}$

Bearing Capacity
 $q_{ult} = c_f N_c + H_{emb} \gamma_f N_q + 0.5(L-2e) \gamma_f N_\gamma$
 This term may be omitted if the soil in front of wall is to be ignored.

Factor of Safety
 $FS_{bc} = \frac{q_{ult}}{\sigma_v} > 2.0-2.5$

Foundation Soil
 ϕ_f - shear strength
 c_f - cohesion
 γ_f - unit weight

Note: Bearing capacity can be reduced by up to 50% if toe is sloping or below water table.

Note: Factor of safety for bearing capacity is typically 3.0 for rigid structures but is reduced to 2.0 (NCMA) or 2.5 (AASHTO) for flexible soil structures.

Bearing Capacity Factors (thru Vesic)

ϕ_f	N_c	N_q	N_γ	ϕ_f	N_c	N_q	N_γ
20°	14.83	6.40	5.39	28°	25.80	14.72	16.72
22°	16.88	7.82	7.13	30°	30.14	18.40	22.40
24°	19.32	9.60	9.44	32°	35.49	23.18	30.22
26°	22.25	11.85	12.54	34°	42.16	29.44	41.06

Vesic/Meyerhof Equations: $N_q = e^{\pi \tan \phi} \tan^2(45 + \phi/2)$, $N_c = (N_q - 1) \cot(\phi)$, $N_\gamma = 2(N_q + 1) \tan(\phi)$

Source: Keystone Wall Systems

As you'll see in the examples, we will need to check the bearing capacity of the soils beneath the wall. Here's a brief example on the use of the Table:

Assume: $\phi = 26^\circ$ $c = 0$ $\gamma = 120$ pcf and $e = 1$ foot $L = 8$ feet $H_{emb} = 1$ foot

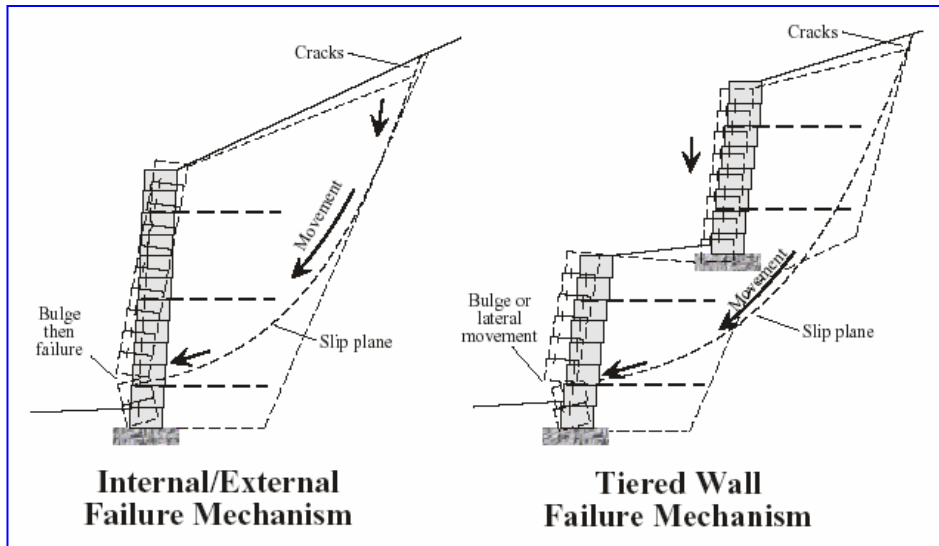
$$q_u = 0 + (1)(11.85)(120) + 0.5(8-2)(12.54)(120) = 5936 \text{ psf}$$

Although some Building Codes may not allow such high bearing pressures, it is clear that bearing capacity increases rapidly with depth and footing width.

Most of the examples in this course will use the Rankine theory but the basic procedures are the same in either case. For Example 1, in fact, the results would be identical.

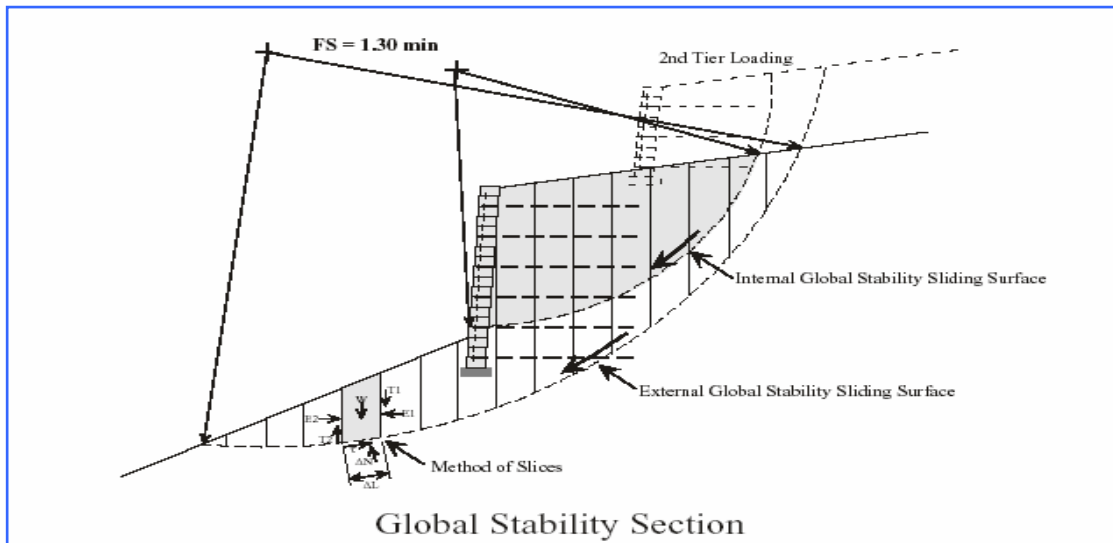
Modes of Failure

As in all structural work, it is useful to look at the ways in which an MSE wall might fail. Here are a few illustrations of the most common types of failure.



Basic Failure Modes

In addition to these basic modes of failure MSE walls, especially tall ones, may fail "globally". That is, the entire soil mass behind the wall may fail due to a zone of weakness or other non-uniformity. This case is illustrated below. Global failure is discussed in more detail later. For now, note that the failure surface lies



beyond the walls and reinforced earth masses.

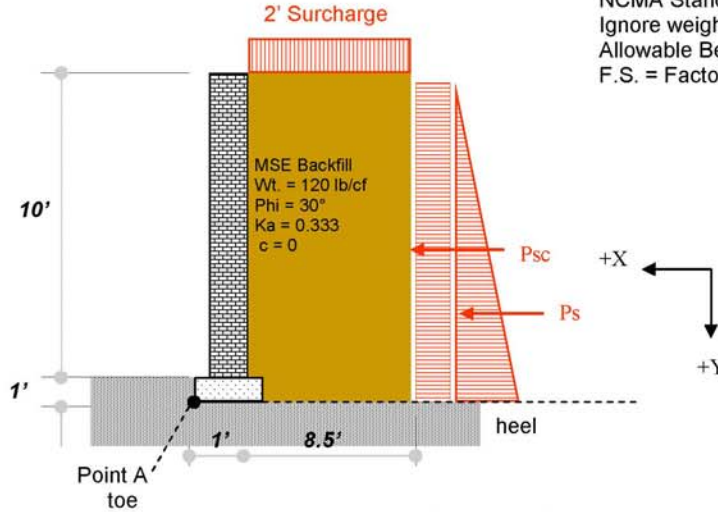
There are, of course many other reasons an MSE wall might fail. These include poor drainage, high surcharge loads, failure in tension of the reinforcing material or pullout of that material.

A. Example 1 Simple Earth Wall with Level Backfill and Surcharge

The detailed calculations for internal stability of the MSE mass with regard to reinforcement stresses and required length for pullout are not addressed here. For current information in this area, see the *AASHTO Standard Specification for Highway Bridges* or the *NCMA Design Manual for Segmental Retaining Walls*.

The following page shows the hand calculations done for preliminary design of the wall.

EXAMPLE 1



Notes:
 NCMA Standards
 Ignore weight of wall & footing
 Allowable Bearing = 12.3 k/sf (Page 3)
 F.S. = Factor of Safety

TYPICAL SECTION

Summary of Loads

Force	Fy	Fx	Arm	Moment about A
MSE Soil	11.2 K		5.25'	+58.80 K-ft Mr
Surcharge	2.0		5.25'	+11.50
Ps		2.42 K	3.67'	-8.88 Mo-
Psc		0.88	5.50'	-4.84 Mo-
Sum	13.2 K	3.30 K		Net Moment 56.58 K-ft

Check Sliding (Include Surcharge)

$$F.S. = \frac{\text{Resisting Force}}{\text{Driving Force}} = \frac{13.2 \tan 30^\circ}{2.42+0.88} = \frac{7.62 \text{ K}}{3.30 \text{ K}} = 2.31 \text{ OK} > 1.50$$

Check Overturning (Ignore Surcharge)

$$F.S. = \frac{\text{Resisting Moment } Mr}{\text{Overturning Moment } Mo} = \frac{58.80 \text{ ft-K}}{8.88} = 6.62 \text{ OK} > 2.5$$

Check Soil Pressure on Base Plane (Include Surcharge)

$$q_u = \frac{P}{A} \pm \frac{Mc}{I} \quad \text{Where: } P = 13.2 \text{ K, } A = 8.5 \text{ sf, } M \text{ at midpoint} = 8.88+4.84 = 13.72 \text{ K-ft}$$

$$c = 4.50', \quad I = 1/12 bh^3 = 51.18 \text{ ft}^4, \quad q_u = \text{Peak Soil Pressure}$$

$$\text{Max. } q_u = \frac{13.2}{8.5} + \frac{13.72(4.50)}{51.18} = 1.55+1.21 = 2.76 \text{ K/sf}$$

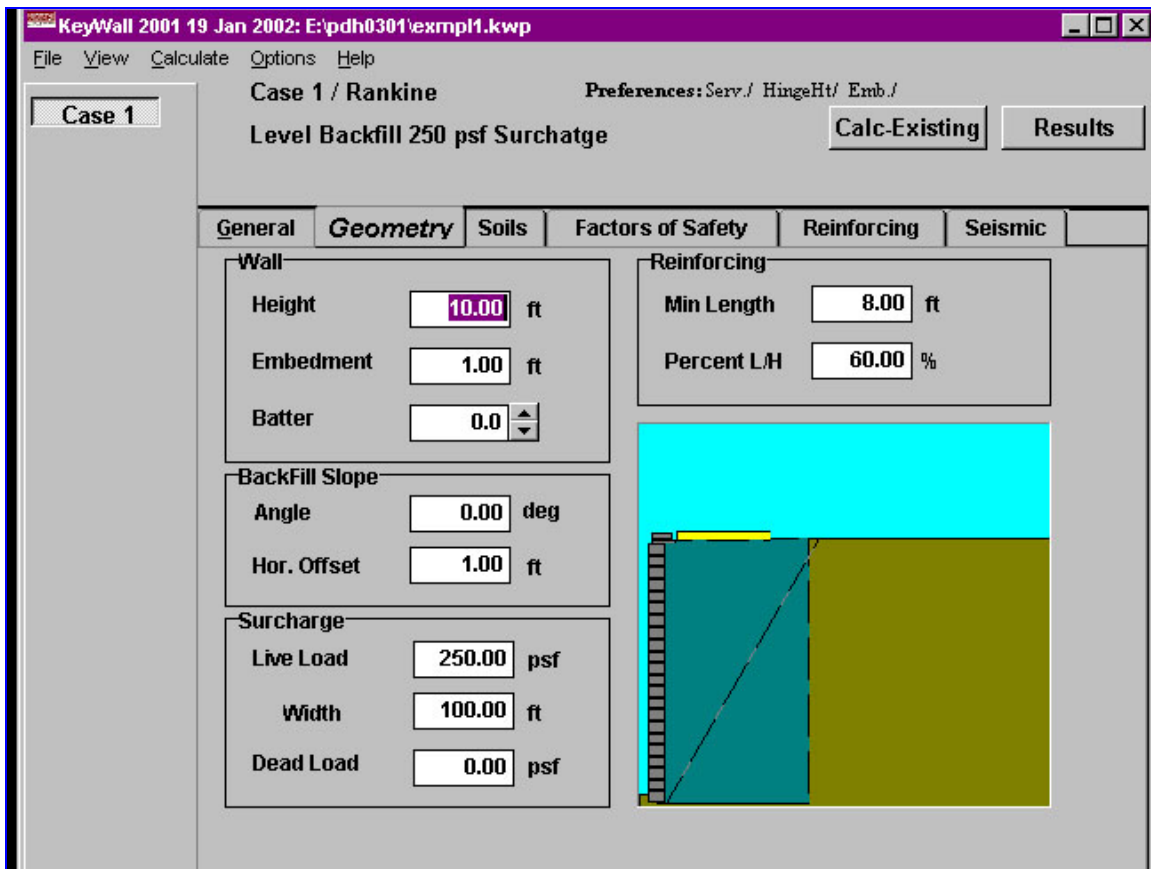
$$\text{Min. } q_u = 0.34 \text{ K/sf}$$

$$F.S. = \frac{12.3 \text{ K/sf}}{2.76 \text{ K/sf}} = 4.46 \text{ OK} > 2.0$$

These hand calculations provide a preliminary design and a quick check on stability. They do not give us all the information we need, however. For that, fortunately there is a lot of software available. Among these are :

- Keystone Wall Systems : Keywall 2001
- NCMA: MSE Retaining Wall Design Software
- Anchor Wall: Anchorwall
- Allen Block: ABWall

Here is Example 1 as designed using Keywall 2001:



The screen shot above is of the input screen. A brief version of the results of the analysis is shown the following page.



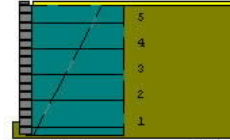
KEYSTONE RETAINING WALL DESIGN
Based on Rankine (modified) Methodology
3.1.5

Project: Example 1
Proj. No.: PDH0301
Design Parameters

Date: 2/1/03
By: RWF

Soil Parameters	ϕ	c_psf	γ pcf
Reinforced Fill:	34	0	120
Retained Fill:	30	0	120
Foundation Fill:	30	0	120

Reinforce Fill Type: 0.75" minus crushed stone or gravel
Unit Fill: Crushed Stone, 1 inch minus



Factors of Safety					
Sliding:	1.50	Overturning:	2.00	Bearing:	2.00
Pullout:	1.50	Uncertainties:	1.50	Serviceability:	N/A
Connection		Peak:	1.50		

Reinforcing Parameters: Strata-Grid Geogrids

	Tult	RFcr	RFd	RFid	LTDS	FS	Tal	Ci	Cds
SG200	2725	1.61	1.10	1.15	1338	1.50	892	0.90	0.90

Analysis: Level Backfill 250 psf Surcharge

Case: Case 1

Unit Type: Compac
Leveling Pad: Crushed Stone
Wall Ht: 10.00 ft
Level Backfill
Surcharge: LL -- 250 psf uniform surcharge
DL -- 0 psf uniform surcharge
embedment: 1.00 ft
Offset= 1.00 ft; Load Width= 100.00 ft

Wall Batter: 0.00 deg.

Results:	Sliding	Overturning	Bearing	Shear	Bending
Factors of Safety:	1.96	3.54	5.83	3.80	1.45<<
Calculated Bearing Pressure: 1817 psf					
Eccentricity at base: 0.88 ft					

Reinforcing: (ft & lbs/ft)		Calculated		Allow. Tension		Peak Connection		Serviceability Connection		Pullout FS
Layer	Height	Length	Tension	Tal	Tcl	Tsc	Tsc	Tsc		
5	<1.45	8.67	8.0	257	SG200	892 OK	563 OK	N/A	1.81 ok	
4	6.67	8.0	368	SG200	892 OK	638 OK	N/A	4.57 ok		
3	4.67	8.0	503	SG200	892 OK	714 OK	N/A	6.98 ok		
2	2.67	8.0	639	SG200	892 OK	776 OK	N/A	9.33 ok		
1	0.67	8.0	636	SG200	892 OK	822 OK	N/A	>10 ok		

Reinforcing Quantities (no waste included):
SG200: 4.44 sy/ft
(% of Rein. Strength Used = 54 %)

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Example 2 Simple Wall with 2:1 Sloping Backfill

For this example we'll use Keywall 2001 again to do all of the calculations. As you'll see, the program can deliver a lot of detailed information.



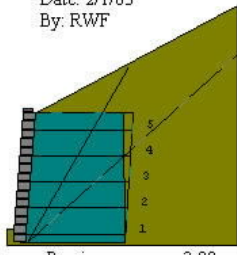
KEYSTONE
RETAINING WALL SYSTEMS
A GINTECH COMPANY

KEYSTONE RETAINING WALL DESIGN
Based on Rankine (modified) Methodology
3.1.5

Date: 2/1/03
By: RWF

Project: Example 2
Proj. No.: PDH0301
Design Parameters

Soil Parameters	ϕ	c psf	γ pcf
Reinforced Fill:	30	0	120
Retained Fill:	30	0	120
Foundation Fill:	30	0	120



Reinforce Fill Type: Silts & sands
Unit Fill: Crushed Stone, 1 inch minus

Factors of Safety	Sliding: 1.50	Overturning: 2.00	Bearing: 2.00	Serviceability: N/A
	Pullout: 1.50	Uncertainties: 1.50		
	Connection	Peak: 1.50		

Reinforcing Parameters: Strata-Grid Geogrids

	Tult	RFcr	RFd	RFid	LTDS	FS	Tal	Ci	Cds
SG200	2725	1.61	1.10	1.10	1399	1.50	933	0.80	0.80

Analysis: Simple wall with sloping backfill **Case: Case 1**

Unit Type: Compac	Wall Batter: 4.40 deg.
Leveling Pad: Crushed Stone	
Wall Ht: 10.00 ft	embedment: 1.00 ft
BackSlope Geometry: 26.60 deg. slope, 100.00 ft long	
Surcharge: LL -- 0 psf uniform surcharge	DL -- 0 psf uniform surcharge
Offset= 100.00 ft, Load Width= 100.00 ft	

Results:

Factors of Safety:	<u>Sliding</u>	<u>Overturning</u>	<u>Bearing</u>	<u>Shear</u>	<u>Bending</u>
	1.53	3.33	7.02	3.26	4.39

Calculated Bearing Pressure: 1773 psf
Eccentricity at base: 0.44 ft

Reinforcing: (ft & lbs/ft)	Calculated	Allow. Tension	Peak Connection	Serviceability Connection	Pullout			
Layer	Height	Length	Tension	Reinf. Type	Tal	Tcl	Tsc	FS
5	8.67	8.5	138	SG200	933 OK	563 OK	N/A	>10 ok
4	6.67	8.5	339	SG200	933 OK	638 OK	N/A	8.24 ok
3	4.67	8.5	542	SG200	933 OK	714 OK	N/A	8.11 ok
2	2.67	8.5	745	SG200	933 OK	776 OK	N/A	8.54 ok
1	0.67	8.5	776	SG200	933 OK *	822 OK	N/A	>10 ok

Reinforcing Quantities (no waste included): (% of Rein. Strength Used = 54 %)

SG200: 4.72 sy/ft

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Hand Calculation

Date: 1 February 2003
 Designer: RWF

Project: Example 2
Case: Simple wall with sloping backfill
Design Method: Rankine-w/Batter (modified soil interface)

Soil Parameters:	ϕ	c psf	γ pcf
Reinforced Fill	30	0	120
Retained Zone	30	0	120
Foundation Soil	30	0	120

Leveling Pad: Crushed Stone

Modular Concrete Unit	Depth	In-Place Wt.
Compac	1.00 ft	120 pcf

Geometry

Internal Stability		(Sloping geometry)	
Height:	10.00 ft	Angle:	26.6 deg
Backslope:		Height:	49.58 ft
Batter:	4.40 deg	Dead Load:	0 psf
Surcharge:		Live Load:	0 psf
External Stability		(Sloping geometry)	
Height:	13.76 ft	Angle:	26.60 deg
Backslope:		Height:	45.82 ft
Batter:	4.40 deg	Dead Load:	0
Surcharge:		Live Load:	0 psf
Base width:	8.5		

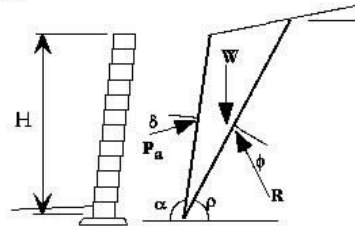
Minimum Design Safety Factors

sliding =	1.50	overturning =	2.00	bearing =	2.00
pullout =	1.50	shear =	1.50	bending =	1.50
uncertainties =	1.50	connection =	1.50		

Earth Pressures:

$$k_a = \frac{\sin^2(\alpha + \phi)}{\sin^2 \alpha \sin(\alpha - \delta) \left[1 + \frac{\sin(\phi + \delta) \sin(\phi - \beta)}{\sin(\alpha - \delta) \sin(\alpha + \beta)} \right]^2}$$

Internal:	External:
$\phi = 30 \text{ deg}$	$\phi = 30 \text{ deg}$
$\alpha = 94.40 \text{ deg}$	$\alpha = 94.40 \text{ deg}$
$\beta = 26.60 \text{ deg}$	$\beta = 26.60 \text{ deg}$
$\delta = 26.60 \text{ deg}$	$\delta = 26.60 \text{ deg}$
H = 10.00 ft	Hn = 13.76 ft
ka = 0.474	ka = 0.474
$\rho = 41.18$	$\rho = 41.18$



Hinge Height:

$$H_g_{ht} = \frac{2 CG}{\tan(\text{batter})}$$

Hinge Ht= 13 ft

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Reinforcing Parameters: Strata-Grid Geogrids

	Tult	RFcr	RFd	RFid	LTDS	FS	Tal	Cl	Cds
SG200	2725	1.61	1.10	1.10	1399	1.50	933	0.80	0.80

Connection Parameters: Strata-Grid Geogrids

	Frictional 1	Break Pt	Frictional 2
SG200	$Tc = N \tan(25.30) + 768$	767	$Tc = N \tan(16.10) + 909$

Unit Shear Data

Base Shear	Inter-Unit Shear
$Shear = N \tan(40.00)$	$Shear = N \tan(26.90) + 767.44$

Calculation:

Calculated Reactions

For the "modified" design method, the back of the mass assumed to be vertical for calculation of resisting forces.

effective sliding length = 8.50 ft

Earth Pressure Equations

$$Pa = 0.5 H \left[\gamma H ka - 2c \sqrt{ka} \right] \quad Pa_v = Pa \sin(\delta)$$

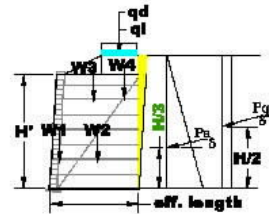
$$Pa_h = Pa \cos(\delta) \quad Pa_v = Pa \sin(\delta)$$

$$Pq = qHka \quad Pq_h = Pq \cos(\delta)$$

$$Pq_v = Pq \sin(\delta)$$

Reactions are:

Area	Force	Arm-x	Arm-y	Moment
W1	1199.97	[0.885]	5.000	1062
W2	8076.65	[5.135]	5.000	41471
W2a	461.67	[1.513]	3.333	699
W3	1361.08	[6.256]	11.252	8516
Pa_h	4807.38	N/A	[4.585]	-22043
Pa_v	2407.36	[9.029]	N/A	21737



Sum V =	13506.73	Sum Mr =	73483.69
Sum H =	4807.38	Sum Mo =	-22043

Calculate Sliding at Base

For Sliding, Vertical Force = $W1+W2+W3+W4+qd$
= 13507

The resisting force within the rein. mass, $Rf_1 = N \tan(30)$
= 7798

The resisting force at the foundation, $Rf_2 = N \tan(30)$
= 7798

The driving forces, Df, are the sum of the external earth pressures:
 $Pa + Pql + Pqd = 4807$

the Factor of Safety for Sliding is $Rf_2/Df = 1.62$



Calculate Overturning:

Overturning moment: $M_o = \text{Sum } M_o$

$M_o = 22043$

Resisting moment: $M_r = \text{Sum } M_r$

$M_r = 73484$

Factor of Safety of Overturning = $M_r/M_o = 3.33$

Calculate eccentricity at base: with surcharge / without surcharge

Sum Moments = 51441/51441

Sum Vertical = 13507/13507

Base Length = 8.50

$e = 0.44/0.44$

Calculate Ultimate Bearing based on shear:

where: $N_q = 18.40$

$N_c = 30.14$

$N_g = 22.40$ (ref. Vesic(1973, 1975) eqns)

Qult = 12447 psf

Equivalent footing width, $B = L - 2e = 7.62 / 7.62$

Bearing pressure = $\text{sum } V/B = 1773 \text{ psf} / 1773 \text{ psf}$ [bearing is greatest without liveload]

Factor of Safety for bearing = $Q_{ult}/\text{bearing} = 7.02$



Calculate Tensions in Reinforcing:

The tensions in the reinforcing layer, and the assumed load at the connection, is the vertical area supported by each respective layer, Sv.Column [6] is $2c \sqrt{ka}$.

Table of Results ppf

[1] Layer	[2] Depth zi	[3] h1	[4] ka/rho	[5] Pa	[6] (Pas+Pasd)	[7] c	[8] (5+6)cos(d)-7	[9] Ti	[10] Tci	[11] Tsc
		0.00	0.474/41	0	0	0	0			
4	1.33	2.33	0.474/41	155	0	0	138	138	563	N/A
3	3.33	4.33	0.474/41	534	0	0	477	339	638	N/A
2	5.33	6.33	0.474/41	1140	0	0	1019	542	714	N/A
1	7.33	8.33	0.474/41	1973	0	0	1764	745	776	N/A
0	9.33							776	822	N/A
		10.00	0.474/41	2841	0	0	2541			

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Calculate sliding on the reinforcing:

The shear value is the lessor of base-shear or inter-unit shear.

[1] Layer	[2] Depth z _i	[3] L _i	[4] N	[5] C _{ds}	[6] τ	[7] RF	[8] k _a	[9] P _a	[10] P _{as}	[11] DF	[12] FS
5	1.33	0.00	23	0.80	849	859	0.474	51	0	45	> 10
4	3.33	7.50	5165	0.80	970	3356	0.474	1428	0	1277	2.63
3	5.33	7.50	7230	0.80	1092	4431	0.474	2347	0	2099	2.11
2	7.33	7.50	9362	0.80	1214	5538	0.474	3494	0	3124	1.77
1	9.33	7.50	11559	0.80	1336	6675	0.474	4868	0	4353	1.53



Calculate pullout of each layer

In the Coulomb, AASHTO, and Rankine methods, the FoS of pullout is calculated as the individual layer pullout (Rf) divided by the tension (Df) in in that layer.

The angle of the failure plane is: 30.00 degrees from vertical

[1] Layer	[2] Depth z _i	[3] Le	[4] SumV	[5] C _i	[6] P _{O_i}	[7] T _i	[8] FS_PO
5	1.33	3.16	1675	0.80	1547	138	> 10
4	3.33	4.16	3021	0.80	2791	339	8.24
3	5.33	5.16	4760	0.80	4397	542	8.11
2	7.33	6.17	6891	0.80	6366	745	8.54
1	9.33	7.17	9415	0.80	8697	776	> 10

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Check Shear & Bending at each layer

Bending on the top layer the FoS of Overturning of the units. (Most surcharge loads need to be moved back from the face.)

[1] Layer	[2] Depth z1	[3] Sl	[4] DF	[5] DM	[6] Pv	[7] RM	[8] FS_b	[9] Shear	[10] FS_Sh
5	1.33	1.33	34	20	0	88	4.39	849	18.79
4	3.33	1.00	102	79	320	409	5.18	970	6.74
3	5.33	1.00	169	124	560	668	5.38	1092	4.45
2	7.33	1.00	237	169	800	926	5.47	1214	3.50
1	9.33	1.00	305	157	1040	1185	7.54	1336	2.98

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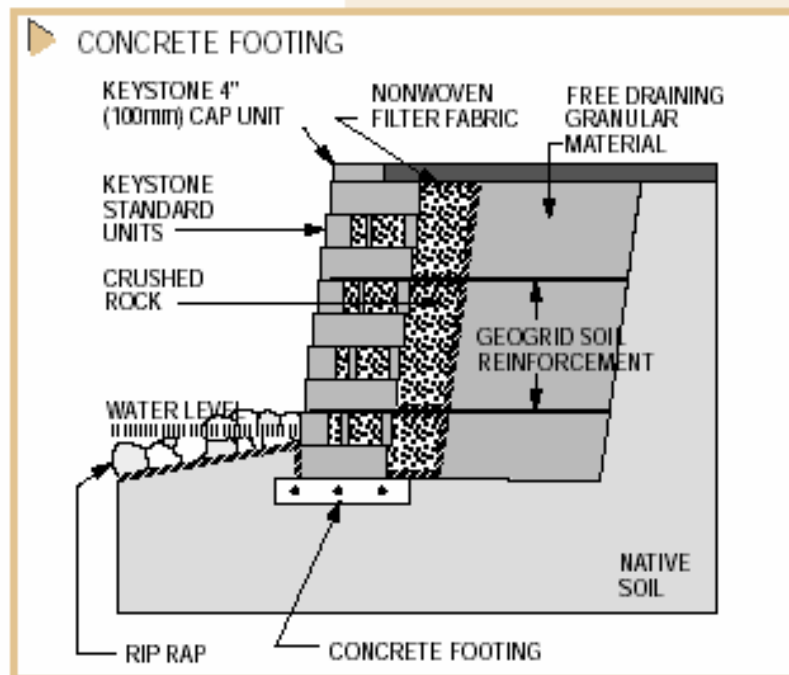
IV Flood Walls and Water Applications



Source: Anchor Wall Systems

Not all flood walls will face conditions as severe as the one pictured above but water has an effect on the wall design in several ways. To see some of those effects here is a simple example assuming a placid pond on one side of the wall.

A. Simple Wall with Water and Earth



Source: Keystone Wall Systems

In water applications there are additional factors to consider including:

- Water level range
- Velocity of flow

- Erosion potential
- Seepage
- Effects of rapid drawdown
- Filter fabric selection
- Wave action

In the design above you'll note that the reinforced soil mass is protected by a non-woven filter fabric to prevent a loss of fine grained material. A concrete bearing pad is used and riprap is placed to guard against the erosion which might cause loss of material at the toe. Backfill is free draining and topped with impermeable material to minimize hydraulic or water pressures behind the wall.

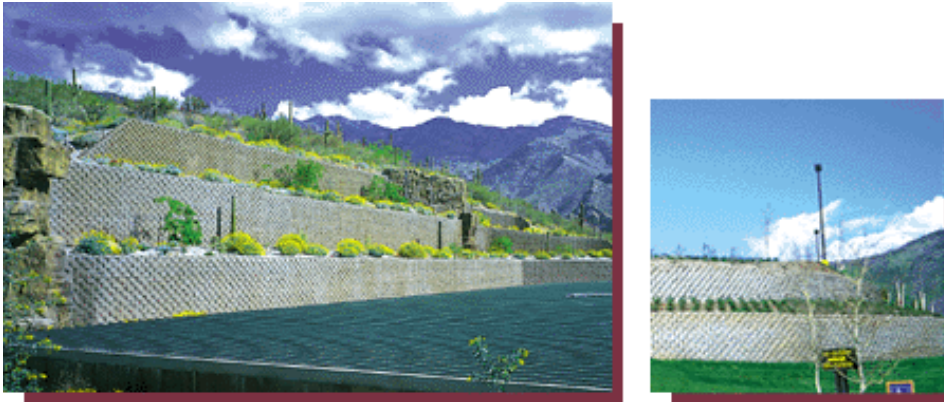
If you choose to use an MSE wall as a channel lining Keystone Wall Systems recommends a Manning's "n" value of about 0.023. This is similar to corrugated metal pipe but smoother than many natural channels.

B. Wave Action

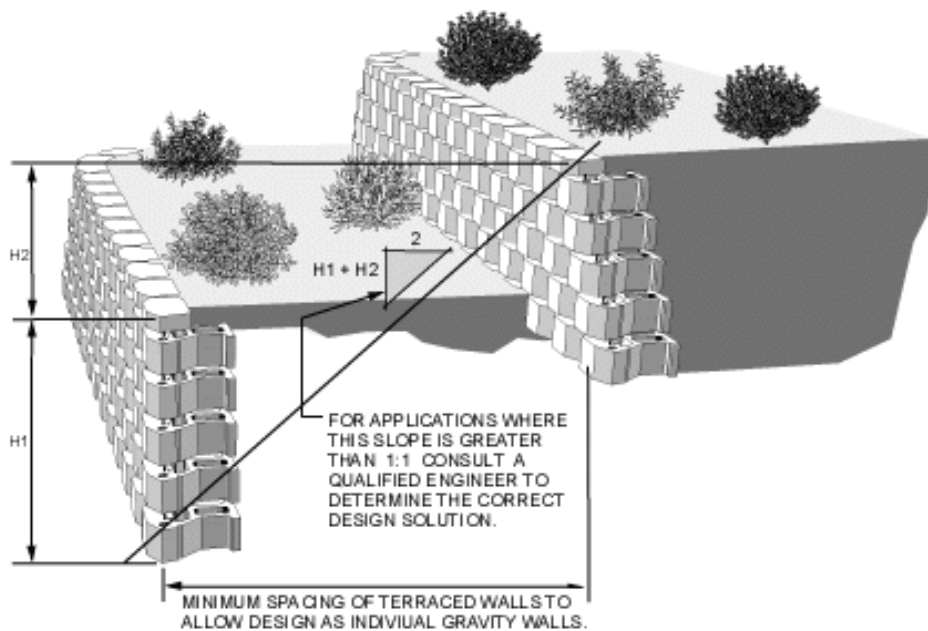
If the wall is to be truly a seawall, designed to resist heavy wave action, then additional measures need to be taken. Such designs are beyond the scope of this course but you will find the links at the end of this course will lead you sources of design guidance and software.

You may also wish to see the PDHCenter course cited above which will provide much information developed over the years by the US Army Corps of Engineers, and others.

V Tiered Walls



A. Spaced Tiered Walls



MSE walls are wonderful for making terraced landscapes and similar aesthetic designs. Unfortunately, many such walls are built each year by homeowners, landscapers and inexperienced contractors with disastrous results. Probably the only other cause of failure which is more common is neglecting to install proper drainage behind the wall.

The illustration above may be used as a guide. The better the material between the walls, i.e. the higher internal friction angle, the closer the walls may be spaced. It is easy to see that the upper wall imposes load on the lower wall. If the lower wall fails, the upper wall will also fail. Also note that both walls may fail globally if they are tall and/or soils are poor.

B. Closely Spaced Walls

When it is necessary to place walls closer to each other than recommended you have several choices. You might reinforce the soils between the two walls using a stronger geogrid or you could use imported fill material which can be compacted to the required density. These options can only take you so far however and you might be faced with using a different type of wall entirely.

In such cases, a global stability analysis is clearly called for using very conservative estimates of soil properties.

VI Surcharges

Loads, in addition to the earth pressures, are called surcharges. They may be either "live" or "dead" loads. Most often they are live loads caused by vehicle traffic and they can be very large in certain situations. For example, in a rock quarry, 100,000 lb vehicles are common. Alongside a railroad very large surcharges may occur.

Also remember that for many walls the greatest loads and surcharges occur during construction. This is often the most critical time in the life of any wall structure.

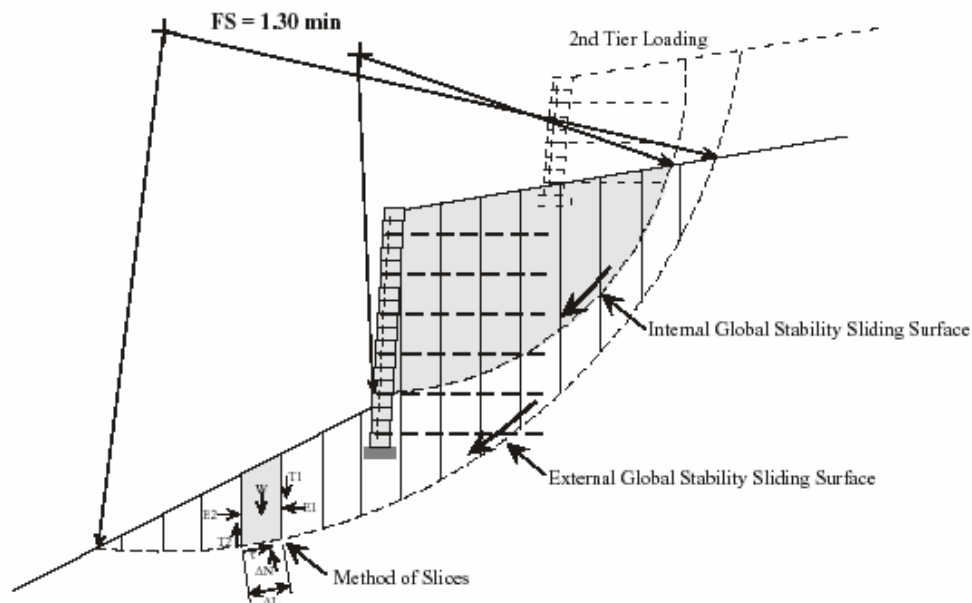
VII Global Stability Analysis

You might be able to do a global stability analysis by "hand calculation" but it would be very time consuming and error prone. The solution is a trial and error process with many sub-steps so a good computer program is needed to bring the solution time down to a reasonable level. This can be done with a good spreadsheet but if you have many analyses to do you may wish to invest in a good program designed specifically to solve this problem. Here's a description of one such program:

"STABL is a computer program written in FORTRAN for the general solution of slope stability problems by two-dimensional limiting equilibrium methods. Its latest version, PCSTABL6, allows also the analysis of reinforced soil slopes with geosynthetics, nailing, and tiebacks.

"The calculation of the factor of safety against instability of a slope is done using one of the following methods: Bishop Simplified Method (applicable to circular shaped failure surfaces), Janbu Simplified Method (applicable to failure surfaces of general shape), and Spencer's Method (applicable to any type of surface). The Janbu Simplified Method has an option to use a correction factor, developed by Janbu, which can be applied to the factor of safety to reduce the conservatism produced by the assumption of no interstice forces.

"STABL features unique random techniques for generation of potential failure surfaces for subsequent determination of the more critical surfaces and their corresponding factors of safety. One technique generates circular; another, surfaces of sliding block character; and a third, more general irregular surfaces of random shape. Specific trial failure surface can also be specified by the user."

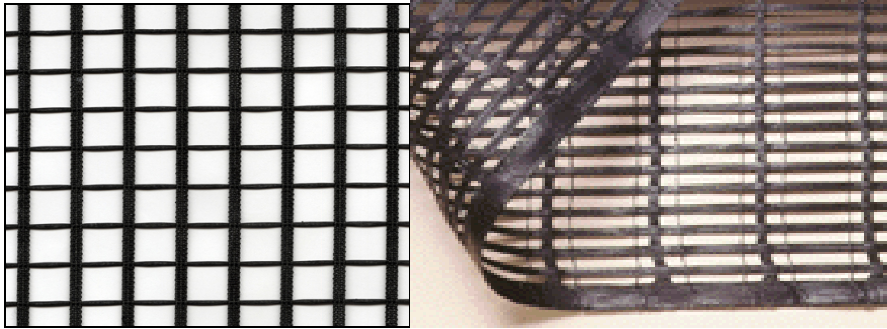


Global Stability Section

These programs are not cheap and they do require some time to learn and some judgement in interpreting the results.

Also, because the solution is iterative it takes some experience and judgement to make one's initial guesses reasonable so that the program will converge in fewer iterations saving computation time.

VIII Geotextiles



Stratagrid 200

Miragrid 5T by Mirafi

In MSE wall design the geogrid is the element that keeps the facing and retained earth together to act as a single mass. The two examples pictured above are typical of the many geogrids available. There are so many on the market that almost any design condition can be met. Links to the principle suppliers are provided at the end of this course where you can obtain all the physical data needed to select and use geogrids.

The single most important property of the geogrid is, of course, it's tensile strength, followed by measures of durability.

For water applications you will also need filter fabric geotextiles. These are generally available from the same sources.

On the following page you will see the wide variety of geogrids available from many manufacturers.

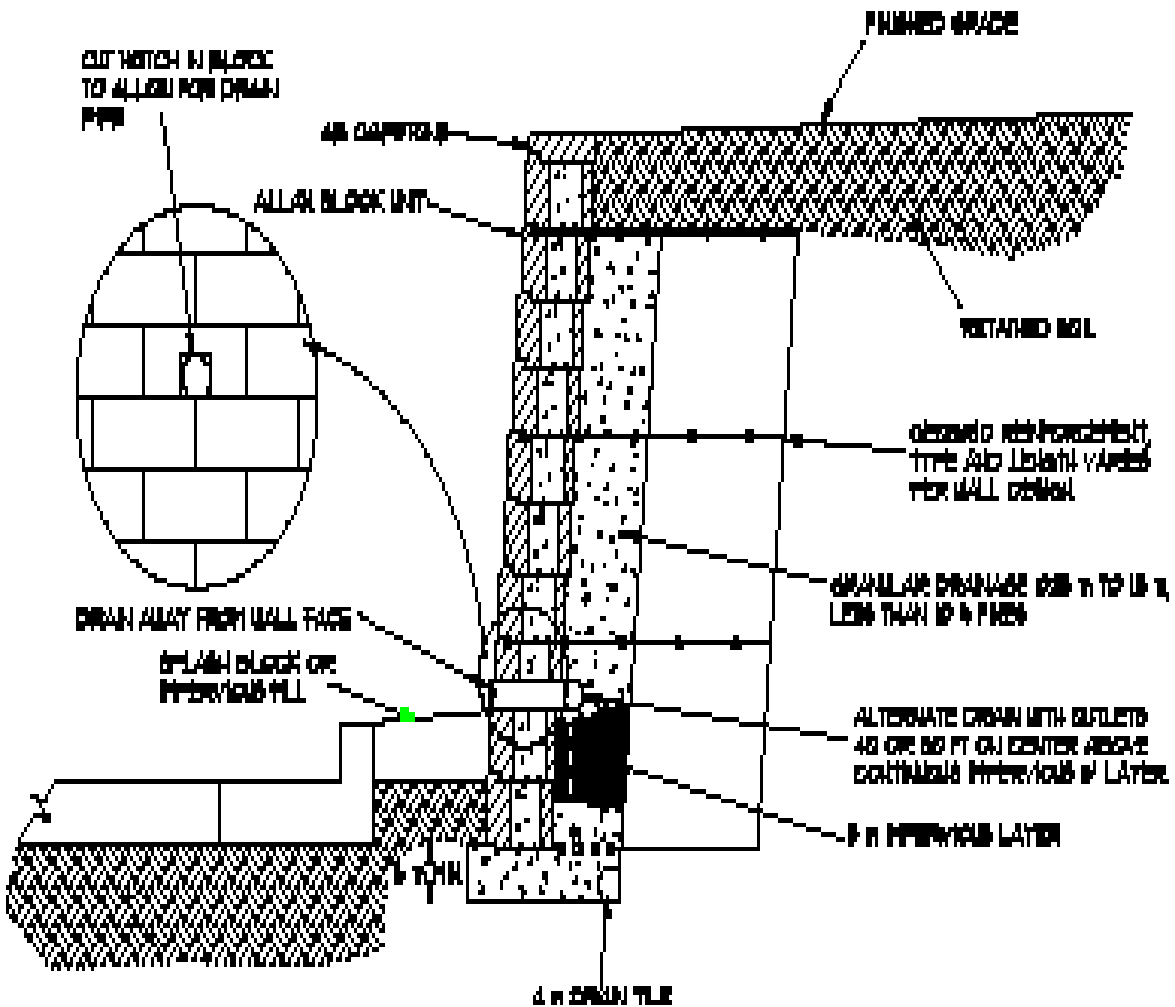
Table 3 – Geosynthetic Reinforcement Data and Reduction Factors

Producer	Product	Ultimate Tensile Strength (plf)	Creep Reduction Factor	Durability Reduction Factor	Installation Damage Reduction Factor	Materials Uncertainties Reduction Factor
TC Mirafi	SRW 200	4800	3.3	1.15	1.2	1.5
	3XT	2800	1.67	1.15	1.15	1.5
	5XT	3590	1.67	1.15	1.15	1.5
	7XT	4350	1.67	1.15	1.15	1.5
	8XT	6230	1.67	1.15	1.15	1.5
	10XT	8300	1.67	1.15	1.15	1.5
	TD3	5500	1.51	1.15	1.2	1.5
Amoco	2044	4800	3.3	1.15	1.15	1.5
Hueskar	Fortrac 35/20-20	2400	1.67	1.15	1.15	1.5
	Fortrac 55/30-20	3700	1.67	1.15	1.15	1.5
	Fortrac 80/30-20	5380	1.67	1.15	1.15	1.5
	Fortrac 110/30-20	7400	1.67	1.15	1.15	1.5
Strata Systems	StrataGrid 200	2720	1.61	1.15	1.15	1.5
	StrataGrid 300	3000	1.61	1.15	1.15	1.5
	StrataGrid 500	4600	1.61	1.15	1.15	1.5
Terram	ParaGrid 30/15S	2055	1.67	1.15	1.15	1.5
	ParaGrid 80/15S	5480	1.67	1.15	1.15	1.5
	TerramGrid 35/30	2397	1.67	1.15	1.15	1.5
	TerramGrid 75/25	5135	1.67	1.15	1.15	1.5
Luckenhaus	Raugrid 3/3-20	2213	1.52	1.15	1.15	1.5
	Raugrid 4/2-15	2843	1.52	1.15	1.15	1.5
	Raugrid 6/3-15	4350	1.52	1.15	1.15	1.5
	Raugrid 8/3-20	5288	1.52	1.15	1.15	1.5
	Raugrid 10/3-20	6715	1.52	1.15	1.15	1.5
Synteen	SF 35	2627	1.67	1.15	1.15	1.5
	SF 55	3774	1.67	1.15	1.15	1.5
	SF 80	5626	1.67	1.15	1.15	1.5
Synthetic Industries	SI 4X1	4800	1.67	1.15	1.2	1.5
	SI 6X1	7200	1.67	1.15	1.2	1.5
	SI 9X1	10800	1.67	1.15	1.2	1.5
	SI SR20	2400	1.67	1.15	1.2	1.5

Source: Anchor Wall Systems

IX Drainage Details

ALLAN BLOCK ALTERNATE BASE DRAIN LOCATION



Good drainage behind an MSE wall is essential to its long-term performance. In fact, the lack of that drainage is probably the most common cause of wall failure.

X Seismic Design

Seismic design begins with a statistical estimate of the probability of a serious earthquake event occurring. The map below illustrates the range of peak ground accelerations in Oregon which might be expected to be equaled or exceeded 0.2 percent of the time in any one year. Those accelerations, in this case, range from 0.05 g to 0.60 g in the purple zone along the southern Oregon coast. ("g" is the acceleration of gravity or 32.2 ft/sec/sec). In Oregon, earthquakes are caused by plate tectonic movement and subduction so they tend to be very destructive.

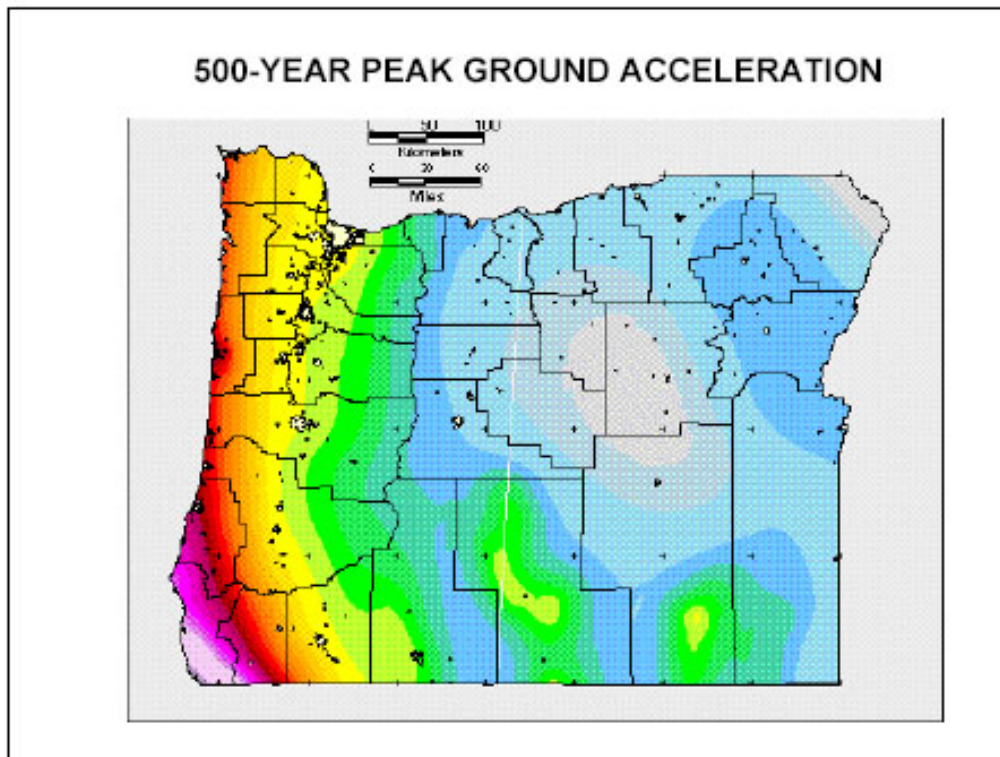


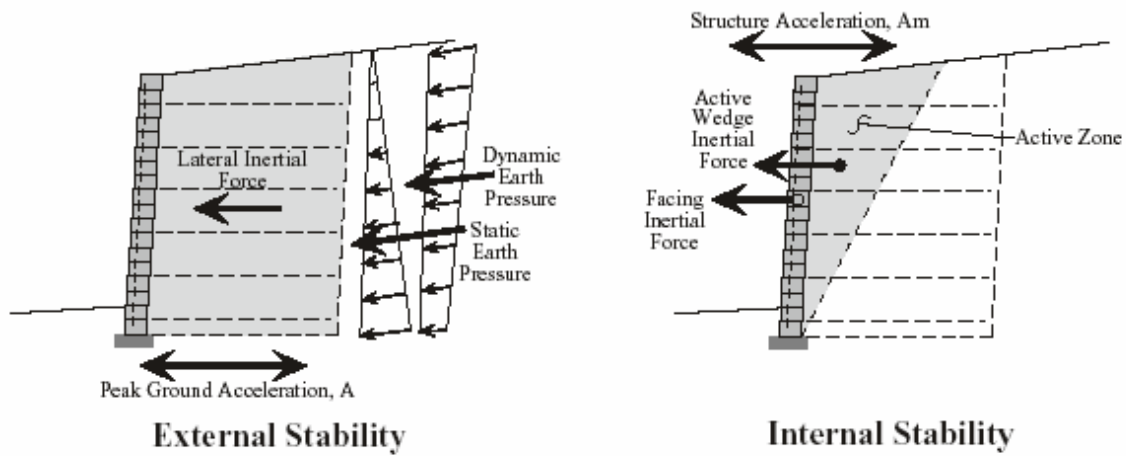
Figure 6

Source: Oregon Department of Geology and Mineral Industries

Similar maps should be available for your locality. Begin your search for them with your State or regional highway authority, bridge designers.

We can use Example 1 again to illustrate the only available method for testing our design for seismic resistance. The figure below illustrates the general approach known as the Mononobe-Okabe Pseudo Static Method.

For seismic design the calculations proceed in the same way as for gravity loads except that reduced factors of safety are allowed. Usually only 75% of the gravity FS is required. Also be aware that this method may only be used for peak ground accelerations of up to 0.40 g. For greater magnitudes additional, dynamic analyses may be required.



Example 1 Seismic Analysis $A=0.33g$

We'll use Keywall 2001 again to do the calculations. Note particularly the Factors of Safety generated by the program. They are the most important part of the output. It is important too to note that the program will not allow a solution for very high ground accelerations.



A GINTECH COMPANY
EXTERNAL STABILITY

Horizontal Acceleration = 0.33g
 Vertical Acceleration = 0.00g
 $A_m = 1.45 - A)A = 0.370$
 $kh(ext) = A_m/2 = 0.185$

Inertia Forces of the soil mass:
 $W2s = H \times (H/2 - \text{face depth}) \times \gamma$
 $= 10.00 \times 4.00 \times 120.00$
 $= 4800.00 \text{ ppf}$
 $Pir = W2s \times kh(ext)$
 $= 887.04$

Inertia Force of the Face:
 $W1 = 1199.97 \text{ ppf}$
 $Pif = W1 \times kh(ext)$
 $= 1199.97 \times 0.185$
 $= 221.754$

Seismic Thrust , Pae
 $D_Pae = 1/2 \times \gamma \times \text{sqr}(H^2) \times (Kae-Ka)$
 $D_Pae = 1/2 \times 120.00 \times 100.00 \times (0.461 - 0.333)$
 $= 763.27$

Reactions for Seismic Calculations

Area	Force	Arm-x	Arm-y	Moment
W1	1199.97	{0.500}	5.000	600
W2	8400.00	{4.500}	5.000	37800
qf	1750.00	{4.500}	10.000	7875
Pa_h	2000.00	N/A	{3.333}	-6667
Pa_v	0.00	{8.000}	N/A	0
Pqi_h	833.33	N/A	{5.000}	-4167
Pir	887.04	2.500	{5.000}	-4435
Pif	221.75	0.500	{5.000}	-1109
D Pae h	618	8.000	{6.000}	-4580

Sum V = 11350 Sum Mr = 46274.98
 Sum H = 3872.06 Sum Mo = -20957



Sliding Calculations

$P_a = 2000.00$ ppf
 $P_{ae} = 1236.73$ ppf
 $P_{IR} = 1108.79$ ppf
 Resisting Forces = $(W_1 + W_2) \tan(\phi)$
 Foundation fill = $9599.97 \times \tan(30.00) = 5542.54$
 $FS = RF / ((P_a + P_{ae}) \times \cos(\delta) + P_{IR})$
 $FS = 1.43$

Overturning Calculations

Overturning moment: $M_o = \text{Sum } M_o$
 $M_o = 20957$
 Resisting Moments $M_r = \text{Sum } M_r$
 $M_r = 38400$
 Factor of Safety of Overturning = $M_r / M_o = 1.83$

Calculate eccentricity at base:

Sum Moments = 25318
 Sum Vertical = 11350
 Base Length = 8.00
 $e = 1.77$

Calculate Ultimate Bearing based on shear:

where: $N_q = 18.40$
 $N_c = 30.14$
 $N_g = 22.40$ (ref. Vesic(1973, 1975) eqns)
 $q_{ult} = 8205$ psf
 Equivalent footing width, $B = L - 2e = 4.46$
 Bearing pressure = $\text{sum } V / B = 2544$ psf
 Factor of Safety for bearing = $q_{ult} / \text{bearing} = 3.23$

INTERNAL STABILITY

$kh(\text{int}) = (1.45 - A) A$
 $= (1.45 - 0.33) 0.33 = 0.37$
 Inertia Forces
 $W_1 = 1.00 \times 10.00 \times 120.00 \times kh(\text{int}) = 444$ ppf

 $\text{Wedge} = \text{Wedge} \times kh(\text{int})$ [for failure plane angle of 60.00deg.]
 $= 3190 \times 0.37$
 $= 1179$ ppf

Total Additional Internal Dynamic Loading

$1179 + 444$
 1623 ppf



Tension in Reinforcing

<u>Layer</u>	<u>Le (ft)</u>	<u>Tension</u>	<u>Dyn Tension</u>	<u>Total Tension (ppf)</u>	<u>FoS Pullout</u>
5	2.39	92.35	171.78	264.13	1.41
4	3.46	226.17	248.15	474.32	2.83
3	4.52	361.88	324.53	686.40	4.09
2	5.58	497.58	400.90	898.48	5.31
1	6.65	518.31	477.27	995.58	7.26

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XI Aesthetics

A. Curvilinear Walls



B. Notched and Offset Walls

▲ Outside 90° corners create the classic straight lines in a formal landscape. Whether using three-plane rock-face or straight split Keystone units, the 90° corner can be accomplished.



C. Landscaping and Irrigation

Landscaping can add a great deal to a walls appearance as is obvious from the photos here. In addition, all these wall units come in colors, textures, shapes and with many special features. Irrigation behind walls must be treated with special care however since all soils are very sensitive to moisture content so good drainage is imperative.

XII Building Code Requirements

As use of MSE walls increase Building Codes in many areas have begun to take note of them and approvals, subject to special inspections is becoming the norm. In addition, many local and State agencies are developing "standards" for their design and construction. The most common way MSE walls gain approval under the Uniform Building Code is through a process called **ICBO Evaluation Reports**. Here is an excerpt from on such ER:

ANCHOR DIAMOND PRO SEGMENTAL RETAINING WALL (SRW)

ANCHOR WALL SYSTEMS, INC.

5959 BAKER ROAD, SUITE 390

MINNETONKA, MINNESOTA 55345

PAVESTONE COMPANY

4835 LBJ FREEWAY, SUITE 700

DALLAS, TEXAS 75244-6072

1.0 SUBJECT

Anchor Diamond Pro Segmental Retaining Wall (SRW).

2.0 DESCRIPTION

2.1 General:

Anchor Diamond Pro Segmental Retaining Wall (SRW) consists of dry-stacked concrete units and optional geosynthetic soil-reinforcement material. Anchor Diamond Pro SRW structures constructed without geosynthetic soilreinforcement are gravity retaining walls that rely solely on the weight of the dry-stacked concrete units to resist destabilizing forces generated by the retained soil and any surcharge or seismic loads. Refer to Figure 1A. Anchor Diamond Pro SRW structures constructed with geosynthetic soil-reinforcement are gravity retaining walls, having an increased mass created by the geosynthetic reinforced-soil mass located behind the dry-stacked concrete units, that resist destabilizing forces generated by the retained soil and any surcharge or seismic loads. Refer to Figure 1B.

2.2 Materials:

2.2.1 SRW Concrete Units: The Anchor Diamond Pro SRW concrete units are available as either straight-face or bevel-face units, as shown in Figure 2. Straight-face units weigh 76 pounds (34 kg), and have a density of 130 pcf (2082 kg/m³). Bevel-faced units weigh 72 pounds (33 kg), and have a density of 130 pcf (2082 kg/m³). Refer to Figure 2 for details. The angle of wall inclination is about 7.1 degrees from vertical towards the backfill as determined by the 1-inch (25 mm) setback per course provided by the rear lip of the concrete unit. Both units must comply with minimum compressive strength and maximum water absorption requirements specified in UBC (1997 *Uniform Building Code*[™]) Standard 21-4, where the minimum 28-day compressive strength is 3,000 psi (21 MPa) on the net

area and the maximum water absorption is 7 percent. Block tolerances must comply with Section 21.406 of UBC Standard 21-4, and with the recommendations specified in the National Concrete Masonry Association's (NCMA) publication titled *TEK 2-4 Specification for Segmental Retaining Wall Units*.

2.2.2 Geosynthetic Material: Geosynthetic materials are high-tensile-strength polymeric woven or knitted materials. When installed in accordance with this report, the geosynthetic material extends through the dry-stacked Diamond Pro concrete units and into the compacted soil to create a composite gravity mass structure. Geosynthetic reinforcements must be stored at temperatures not lower than -10°F (-23°C); and must not be in contact with wet cement, epoxy or other adhesive materials. To prevent UV degradation, the geosynthetic material must not be subjected to prolonged exposure to sunlight. Geosynthetic reinforcements that are compatible with the Anchor Diamond Pro concrete units bear the product names Mirafi, Raugrid, or Strata, and may be described as follows:

1. **Mirafi®:** The Mirafi 3XT, 5XT, and 8XT, manufactured by TC Mirafi, consist of polyester yarns with an acrylic latex coating, woven into a grid shape sheet.
2. **Raugrid:** Raugrid geogrids 3/3-20, 4/2-15, 6/3-15, and 8/3-20, manufactured by Luckenhaus North America, Inc., consist of polyester yarns with a PVC coating, woven into a grid shape sheet.
3. **Strata:** Strata geogrids 200, 300, and 500, manufactured by Strata Systems, Inc., consist of polyester yarns saturated with a PVC coating, precision knitted into a dimensionally stable, grid shape sheet.

2.2.3 Backfill Soil: Backfill used in the reinforced soil mass must consist of appropriate material placed in compacted lifts. The backfill soil properties, lift thickness and degree of compaction are determined by the soils engineer. A drainage aggregate layer and drain tile must be installed in the system to prevent buildup of hydrostatic pressures behind the wall. The drainage provisions must be determined by the soils engineer and approved by the building official.

2.3 Design:

2.3.1 General: The design of gravity and reinforced-soil retaining SRW systems must be based on the guidelines outlined in the NCMA *Design Manual for Segmental Retaining Walls* (second edition), dated 1997. A copy of the NCMA design manual must be made available to the building official upon request.

The design must consider both external and internal stability, along with consideration of external loads generated by surcharges and seismic activity. Lateral earth pressures must be determined using the Coulomb theory.

Seismic loads must be analyzed by the method outlined in the NCMA *Segmental Retaining Walls—Seismic Design Manual* (first edition), dated 1998. A copy of the NCMA seismic design manual must be made available to the building official upon request.

ER-5809

Issued May 1, 2002

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Filing Category: DESIGN—Masonry

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XIII Conclusions

This course has presented a brief overview of the basics of MSE wall design. Many of the applications of these kinds of walls go well beyond these basics and, as their use increases, will test your design skills. However, good design, good drainage and a healthy respect for the difficulties and unknowns associated with any earth structure should allow you to meet those tests.

XIV References and Links

1. Design Manual for Segmental Retaining Walls, 2nd Edition (NCMA, 1997)
<http://www.masonryinstitute.org>
2. American Association of State Highway and Transportation Officials
<http://www.aashto.org/aashto/home.nsf/FrontPage>
3. Strata Systems, Inc.
<http://www.geogrid.com/>
4. Mirafi
<http://www.tcmirafi.com/>
5. Allan Block Company
<http://www.allanblock.com/>
6. Anchor Wall
<http://www.anchorblock.com/>
7. PCStabl
<http://www.ecn.purdue.edu/STABL/>
8. Keystone Wall Systems, Inc.

<http://www.keystonewalls.com>

9. Federal Emergency Management Agency
Coastal Hazard Analysis and Modeling Program (CHAMP)

http://www.fema.gov/mit/tsd/frm_soft.htm

10. National Concrete Masonry Association
<https://secure.ncma.org/source/orders/index.cfm>

Note: In the summer of 2009 the NCMA expects to update both its Design Manual for Segmental Retaining Walls and its software, SRWall 4.0.